

Docket No. 230112US26



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Tomoyo YAMAGUCHI, et al.

SERIAL NO: 10/607,537

GAU:

FILED: June 27, 2003

EXAMINER:

FOR: PLASMA PROCESSING METHOD

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached International Search Report and form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

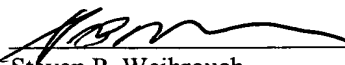
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
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Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

230112US26

SERIAL NO.

10/607,537

LIST OF REFERENCES CITED BY APPLICANT

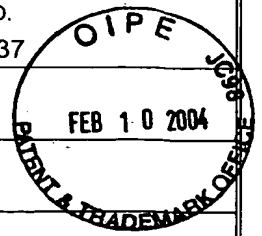
APPLICANT

Tomoyo YAMAGUCHI, et al.

FILING DATE

June 27, 2003

GROUP



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,677,242	10/14/1997	Aisou			
	AB	US 2002/0058390 A1	05/16/2002	Imai			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	4-151668	05/25/1992	Japan (w/English Abstract)		
	AP	6-232098	08/19/1994	Japan (w/English Abstract)		
	AQ	7-106310	04/21/1995	Japan (w/English Abstract)		
	AR	8-055791	02/27/1996	Japan (w/English Abstract)		
	AS	9-306893	11/28/1997	Japan (w/English Abstract)		
	AT	10-261628	09/29/1998	Japan (w/English Abstract)		
	AU	10-268526	10/09/1998	Japan (w/English Abstract)		
	AV	2000-269198	09/29/2000	Japan (w/English Abstract)		
	AW	2001-244245	09/07/2001	Japan (w/English Abstract)		
	AX	2002-043590	02/08/2002	Japan (w/English Abstract)		
	AY	WO 99/30357	06/17/1999	WIPO		

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AZ	
	AAA	
	AAB	
	AAC	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.